

# RDF and SPARQL: Database Foundations

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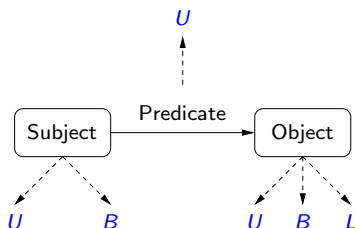
Center for Web Research  
<http://www.cwr.cl/>

- ▶ Part I: The RDF data model
- ▶ Part II: Querying RDF Data
  - ▶ Querying: The simple and the ideal
  - ▶ Querying: Semantics and Complexity
- ▶ Part III: Querying Data with SPARQL
  - ▶ Decisions taken
  - ▶ Decisions to be taken
- ▶ Conclusions

# RDF in a nutshell

- ▶ RDF is the W3C proposal framework for representing information in the Web.
- ▶ Abstract syntax based on directed labeled graph.
- ▶ Schema definition language (**RDFS**): Define new vocabulary (typing, inheritance of classes and properties).
- ▶ Extensible URI-based vocabulary.
- ▶ Support use of XML schema datatypes.
- ▶ Formal semantics.

# RDF formal model

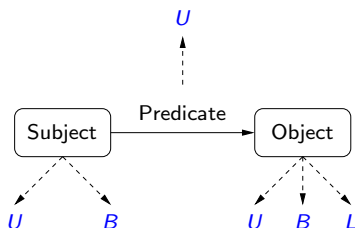


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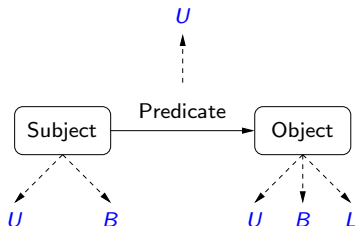
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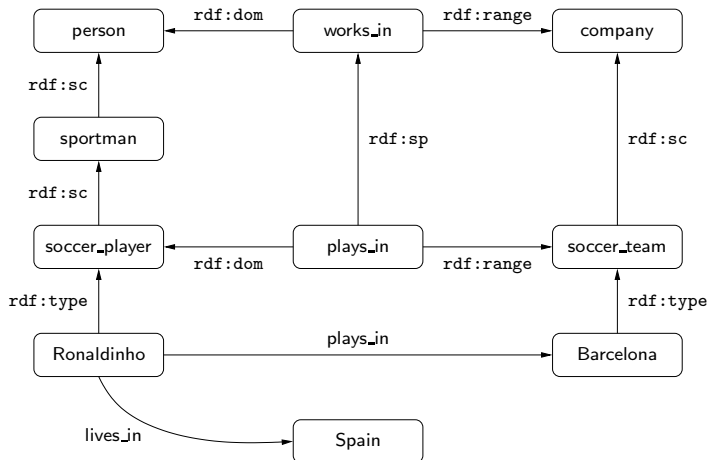
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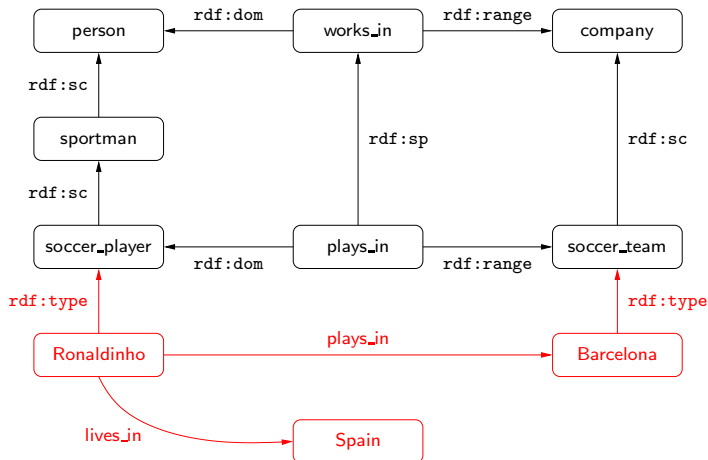
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A set of RDF triples is called an **RDF graph**

# RDFS: An example



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Some difficulties:

- ▶ Existential variables as datavalues
- ▶ Built-in vocabulary with fixed semantics (RDFS)
- ▶ Graph model where nodes may also be edge labels

RDF data processing can take advantage of database techniques:

- ▶ Query processing
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- ▶ Can be defined in terms of classical notions such model, interpretation, etc
  - ▶ As for the case of first order logic
- ▶ Has a graph characterization via homomorphisms.

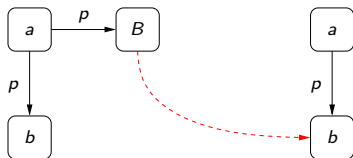
# Homomorphism

A function  $h : U \cup B \cup L \rightarrow U \cup B \cup L$  is a **homomorphism**  $h$  from  $G_1$  to  $G_2$  if:

- ▶  $h(c) = c$  for every  $c \in U \cup L$ ;
- ▶ for every  $(a, b, c) \in G_1$ ,  $(h(a), h(b), h(c)) \in G_2$

Notation:  $G_1 \rightarrow G_2$

Example:  $h = \{B \mapsto b\}$



## Theorem (CM77)

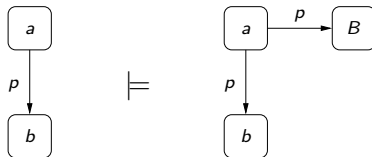
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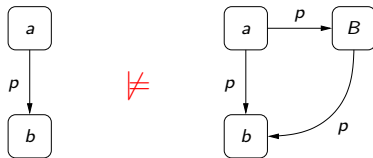
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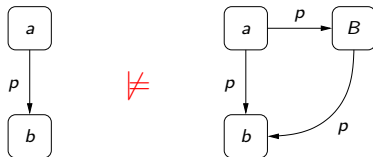
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## Complexity

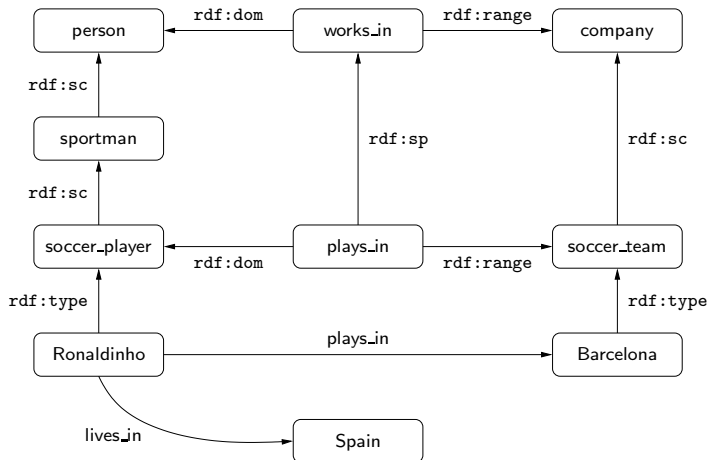
*Entailment for RDF is NP-complete*

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RDFS-entailment can be characterized by a set of rules

- ▶ An Existential rule
- ▶ Subproperty rules
- ▶ Subclass rules
- ▶ Typing rules
- ▶ Implicit typing

# Graphs with RDFS vocabulary: Inference rules

Inference system in [MPG07] has 14 rules:

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Implicit typing :  $\frac{(q, \text{rdf:dom}, a) \quad (p, \text{rdf:sp}, q) \quad (b, p, c)}{(b, \text{rdf:type}, a)}$



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Implicit typing : 
$$\frac{(B, \text{rdf:dom}, a) \quad (p, \text{rdf:sp}, B) \quad (b, p, c)}{(b, \text{rdf:type}, a)}$$

## Theorem (H04,GHM04,MPG07)

$G_1 \models G_2$  iff there is a proof of  $G_2$  from  $G_1$  using the system of 14 inference rules.

## Complexity

*RDFS-entailment is NP-complete.*

## Proof idea

*Membership in NP: If  $G_1 \models G_2$ , then there exists a polynomial-size proof of this fact.*

# Closure of an RDF Graph

Notation:

$\text{ground}(G)$  : Graph obtained by replacing every blank  $B$  in  $G$  by a constant  $c_B$ .

$\text{ground}^{-1}(G)$  : Graph obtained by replacing every constant  $c_B$  in  $G$  by  $B$ .

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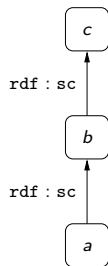
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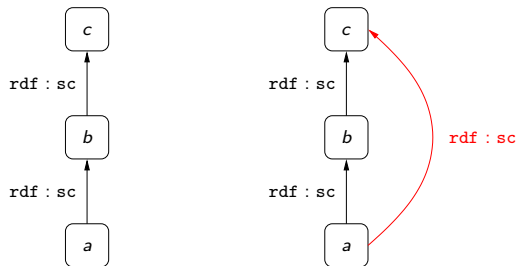
Closure of an RDF graph  $G$  (denoted by  $\text{closure}(G)$ ):

$G \cup \{t \in (U \cup B) \times U \times (U \cup B \cup L) \mid$   
there exists a ground tuple  $t'$  such that  
 $\text{ground}(G) \models t'$  and  $t = \text{ground}^{-1}(t')\}$

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# Closure of an RDF graph: complexity

Proposition (H04,GHM04,MPG07)

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The closure of  $G$  can be computed in time  $O(|G|^4 \cdot \log |G|)$ .



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## Complexity

*The closure of  $G$  can be computed in time  $O(|G|^4 \cdot \log |G|)$ .*

Can the closure be used in practice?

- ▶ Can we use an alternative materialization?
- ▶ Can we materialize a small part of the closure?

# Core of an RDF Graph

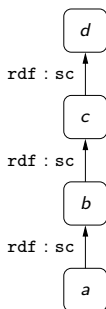
An RDF Graph  $G$  is a *core* if there is no homomorphism from  $G$  to a proper subgraph of it.

## Theorem (HN92,FKP03,GHM04)

- ▶ Each RDF graph  $G$  has a unique core (denoted by  $\text{core}(G)$ ).
- ▶ Deciding if  $G$  is a core is coNP-complete.
- ▶ Deciding if  $G = \text{core}(G')$  is DP-complete.

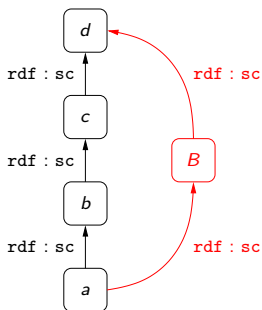
# Core and RDFS

For RDF graphs with RDFS vocabulary, the core of  $G$  may contain redundant information:



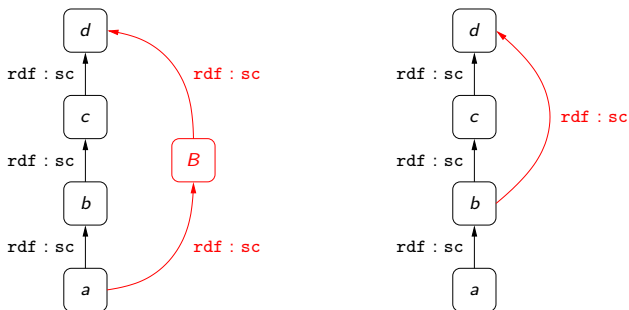
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## Theorem (GHM04)

- ▶  $G_1$  is equivalent to  $G_2$  iff  $\text{nf}(G_1) \cong \text{nf}(G_2)$ .
- ▶  $G_1 \models G_2$  iff  $G_2 \rightarrow \text{nf}(G_1)$

# A normal form for RDF graphs

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- ▶  $G_1 \models G_2$  iff  $G_2 \rightarrow \text{nf}(G_1)$

## Complexity

*The problem of deciding if  $G_1 = \text{nf}(G_2)$  is DP-complete.*

# Querying RDF data: Desiderata

Let  $D$  be a database,  $Q$  a query, and  $Q(D)$  the answer.

- ▶ Outputs should belong to the same family of objects as inputs
- ▶ If  $D \equiv D'$ , then  $Q(D) = Q(D')$   
(Weaker) If  $D \equiv D'$ , then  $Q(D) \cong Q(D')$
- ▶  $Q(D)$  should have no (or minimal) redundancies
- ▶ The framework should be extensible to RDFS  
(Should the framework be extensible to OWL?)
- ▶ Incorporate to the framework the notion of entailment

Outputs should belong to the same family of objects as inputs

- ▶ Allows compositionality of queries
- ▶ Allows defining views
- ▶ Allows rewriting

In RDF, the natural objects of input/output are RDF graphs.

# Querying RDF data: Desiderata

If  $D \equiv D'$ , then  $Q(D) = Q(D')$

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- ▶ Outputs are syntactic or semantic objects?
- ▶ Need a notion of “equivalent” databases ( $\equiv$ )  
(In RDF, there is a standard notion of logical equivalence)
- ▶ One could just ask logical equivalence in the output
- ▶ In RDF there is an intermediate notion: graph isomorphism

$Q(D)$  should have no (or minimal) redundancies

- ▶ Desirable to avoid inconsistencies
- ▶ Desirable to improve processing time and space
- ▶ Standard requirement for exchange information

The framework should be extensible to RDFS  
(Should the framework be extensible to OWL?)

- ▶ A basic requirement of the Semantic Web Architecture
- ▶ Extension to OWL are not trivial because of the known mismatch
- ▶ Not necessarily related to the type of semantics given (logical framework, graph matching, etc.)



## Incorporate to the framework the notion of entailment

- ▶ RDF graphs are not purely syntactic objects
- ▶ Would like to incorporate KB framework
- ▶ Beware of the complexity issues! RDF navigates on the Web
- ▶ Find the good compromise

# Querying RDF data: Definitions

A **conjunctive query**  $Q$  is a pair of RDF graphs  $H, B$  where some resources have been replaced by variables  $\bar{X}, \bar{Y}$  in  $V$ .

$$Q : \quad H(\bar{X}) \leftarrow B(\bar{X}, \bar{Y})$$

Issues:

- ▶ Free variables in  $B$  (projection)
- ▶ Treatment of blank nodes in  $B$
- ▶ Treatment of blank nodes in  $H$

## Querying RDF data: Definitions (cont.)

A **valuation** is a function  $v : V \rightarrow U \cup B \cup L$

A **matching** of a graph  $B$  in the database  $D$  is a valuation  $v$  such that  $v(B) \subseteq D$ .

A **pre-answer** to  $Q$  over  $D$  is the set

$$\text{preans}(Q, D) = \{v(H) : v \text{ is a matching of } B \text{ in } D\}$$

A **single answer** is an element of  $\text{preans}(Q, D)$

# Querying RDF data: Two semantics

**Union:** answer  $Q(D)$  is the **union** of all single answers

$$ans_U(Q, D) = \bigcup preans(Q, D)$$

**Merge:** answer  $Q(D)$  is the **merge** of all single answers

$$ans_M(Q, D) = \bigoplus preans(Q, D)$$

## Proposition

1. For both semantics, if  $D \models D'$  then  $ans(Q, D) \models ans(Q, D')$
2. For all  $D$ ,  $ans_U(Q, D) \models ans_M(Q, D)$
3. With merge semantics, we cannot represent the identity query

## Problem

Two non-isomorphic datasets  $D, D'$  give different answers to the same query.

A slightly **refined semantics**:

1. Normalize  $D$  before querying
2. Then query as usual over  $nf(D)$

**Good News**: if  $D \equiv D'$  then  $Q(D) \cong Q(D')$

**Bad News**: computing  $nf(D)$  is hard

# Querying RDF data: refined semantics (cont.)

The news as formal results:

## Theorem (MPG07)

*Do not need to compute the normal form.*

## Theorem (FG06)

*If a query language has the following two properties:*

- 1. for all  $Q$ , if  $D \equiv D'$  then  $Q(D) = Q(D')$ ,*
- 2. can represent the identity query,*

*then the complexity of evaluation (in data complexity) is as hard as the evaluation of  $\equiv$ .*

# Querying RDF data: Containment

A query  $Q'$  **contains** a query  $Q$ , denoted  $Q \sqsubseteq Q'$  iff  $ans(Q', D)$  comprises all the information of  $ans(Q, D)$ .

In classical DB:  $ans(Q, D) \subseteq ans(Q', D)$

In our setting we have two versions:

- ▶  $ans(Q, D) \subseteq ans(Q', D)$  ( $Q \sqsubseteq_p Q'$ )
- ▶  $preans(Q, D) \subseteq preans(Q', D)$  (modulo iso) ( $Q \sqsubseteq_m Q'$ )

For ground RDF both notions coincide.

# Querying RDF data: Complexity

Query complexity version: The evaluation problem is NP-complete

Data complexity version: The evaluation problem is polynomial



# Querying with SPARQL

- ▶ SPARQL is the W3C candidate recommendation query language for RDF.
- ▶ SPARQL is a graph-matching query language.
- ▶ A SPARQL query consists of three parts:
  - ▶ Pattern matching: optional, union, nesting, filtering.
  - ▶ Solution modifiers: projection, distinct, order, limit, offset.
  - ▶ Output part: construction of new triples, . . .

# Recall the formalization from Unit-2

Syntax:

- ▶ Triple patterns: RDF triple + variables (no bnodes)
- ▶ Operators between triple patterns: **AND**, **UNION**, **OPT**.
- ▶ Filtering of solutions: **FILTER**.
- ▶ A **full parenthesized** algebra.

# Recall the formalization from Unit-2

Semantics:

- ▶ Based on **mappings**, partial functions from variables to terms.
- ▶ A mapping  $\mu$  is a solution of triple pattern  $t$  in  $G$  iff
  - ▶  $\mu(t) \in G$
  - ▶  $\text{dom}(\mu) = \text{var}(t)$ .
- ▶  $[[t]]_G$  is the **evaluation** of  $t$  in  $G$ , the set of solutions.

## Example

$G$	$t$	$[[t]]_G$						
$(R_1, \text{name}, \text{john})$ $(R_1, \text{email}, \text{J@ed.ex})$ $(R_2, \text{name}, \text{paul})$	$(?X, \text{name}, ?Y)$	$\mu_1:$ <table border="1"><tr><td>?X</td><td>?Y</td></tr><tr><td><math>R_1</math></td><td>john</td></tr></table> $\mu_2:$ <table border="1"><tr><td><math>R_2</math></td><td>paul</td></tr></table>	?X	?Y	$R_1$	john	$R_2$	paul
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# Compatible mappings

## Definition

Two mappings are **compatible** if they **agree** in their **shared variables**.

## Example

	?X	?Y	?Z	?V
$\mu_1$ :	$R_1$	john		
$\mu_2$ :	$R_1$		J@edu.ex	
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$\mu_2$ :	$R_1$		J@edu.ex	
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$\mu_1 \cup \mu_2$ :	$R_1$	john	J@edu.ex	

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## Definition

Two mappings are **compatible** if they **agree** in their **shared variables**.

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►  $\mu_2$  and  $\mu_3$  are not compatible

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**Left Outer Join:**  $M_1 \bowtie\! \bowtie M_2 = (M_1 \bowtie M_2) \cup (M_1 \setminus M_2)$

# Semantics of general graph patterns

## Definition

Given a graph  $G$  the evaluation of a pattern is recursively defined

- ▶  $[[ (P_1 \text{ AND } P_2) ] ]_G = [[P_1]]_G \bowtie [[P_2]]_G$
- ▶  $[[ (P_1 \text{ UNION } P_2) ] ]_G = [[P_1]]_G \cup [[P_2]]_G$
- ▶  $[[ (P_1 \text{ OPT } P_2) ] ]_G = [[P_1]]_G \bowtie [[P_2]]_G$
- ▶  $[[ (P \text{ FILTER } R) ] ]_G = \{ \mu \in [[P]]_G \mid \mu \text{ satisfies } R \}$

# Differences with Relational Algebra / SQL

- ▶ Not a fixed output schema
  - ▶ mappings instead of tables
  - ▶ schema is implicit in the domain of mappings
- ▶ Too many NULLs
  - ▶ mappings with disjoint domains can be joined
  - ▶ mappings with distinct domains in output solutions
- ▶ SPARQL-to-SQL translations experience these issues
  - ▶ need of IS NULL/IS NOT NULL in join/outerjoin conditions
  - ▶ need of COALESCE in constructing output schema



# SPARQL complexity: the evaluation problem

## Input:

A mapping  $\mu$ , a graph pattern  $P$ , and an RDF graph  $G$ .

## Question:

Is the mapping in the evaluation of the pattern against the graph?

$$\mu \in [[P]]_G?$$

# Evaluation of **AND-FILTER** patterns is polynomial.

## Theorem (PAG06)

*For patterns using only **AND** and **FILTER** operators, the evaluation problem is polynomial:*

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## Proof idea

- ▶ *Check that the mapping makes every triple to match.*
- ▶ *Then check that the mapping satisfies the FILTERs.*

# Evaluation including UNION is NP-complete.

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- ▶ Reduction from **QBF**
- ▶ A pattern encodes a quantified propositional formula:

$$\forall x_1 \exists y_1 \forall x_2 \exists y_2 \cdots \psi.$$

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# PSPACE-hardness: A closer look

Assume  $\varphi = \forall x_1 \exists y_1 \psi$ , where  $\psi = (x_1 \vee \neg y_1) \wedge (\neg x_1 \vee y_1)$ .

We generate  $G$ ,  $P_\varphi$  and  $\mu_0$  such that  $\mu_0$  belongs to the answer of  $P_\varphi$  over  $G$  iff  $\varphi$  is valid:

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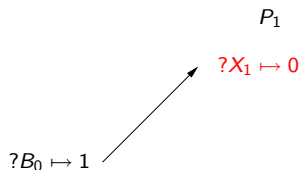
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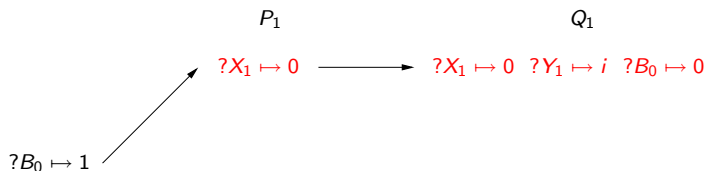
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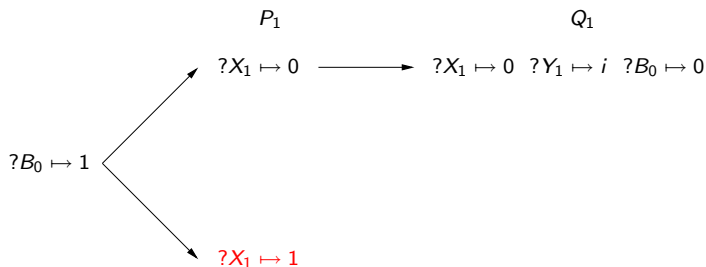
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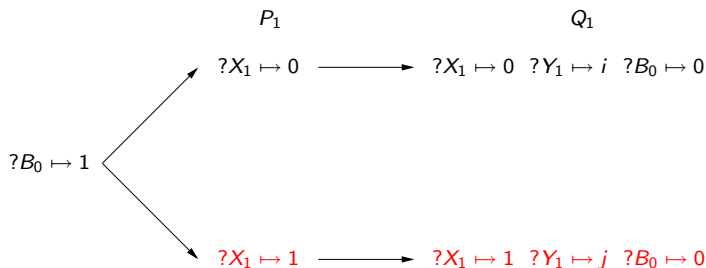


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## Proof idea

*From data-complexity of first-order logic.*

# SPARQL reordering/optimization: a simple normal form

- ▶ **AND** and **UNION** are commutative and associative.
- ▶ **AND**, **OPT**, and **FILTER** distribute over **UNION**.

## Theorem (UNION Normal Form)

Every graph pattern is *equivalent* to one of the form

$$P_1 \text{ UNION } P_2 \text{ UNION } \dots \text{ UNION } P_n$$

where each  $P_i$  is **UNION-free**.

We concentrate in UNION-free patterns.

# Well-designed patterns

## Definition

A graph pattern is **well-designed** iff for every OPT in the pattern

( ..... ( A OPT B ) ..... )

if a variable occurs **inside B** and **anywhere outside the OPT**, then the variable **must also occur inside A**.







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# Well-designed patterns and PSPACE-hardness

In the PSPACE-hardness reduction we use this formula:

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It is not well-designed:  $B_0$

# Well-designed patterns: reordering/optimization

For well-designed patterns

- ▶  $P_1 \text{ AND } (P_2 \text{ OPT } P_3) \equiv (P_1 \text{ AND } P_2) \text{ OPT } P_3$
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*Every well-designed pattern is equivalent to one of the form*

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